### **Distributed Transactions**

- Distributed Transactions
- Concurrency control and locks

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### **Transactions**

- •Transactions provide higher level mechanism for atomicity of processing in distributed systems
  - Have their origins in databases
- •Banking example: Three accounts A:\$100, B: \$200, C:\$300
  - Client 1: transfer \$4 from A to B
  - Client 2: transfer \$3 from C to B
- •Result can be inconsistent unless certain properties are imposed on the accesses

Client 1	Client 2
Read A: \$100	
Write A: \$96	
	Read C: \$300
	Write C:\$297
Read B: \$200	
	Read B: \$200
	Write B:\$203
Write B:\$204	

## **ACID Properties**

• Atomic: all or nothing

•Consistent: transaction takes system from one consistent state to another

• Isolated: Immediate effects are not visible to other (serializable)

• Durable: Changes are permanent once transaction completes (commits)

Client 1	Client 2
Read A: \$100	
Write A: \$96	
Read B: \$200	
Write B:\$204	
	Read C: \$300
	Write C:\$297
	Read B: \$204
	Write B:\$207

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### **Transaction Primitives**

Primitive	Description
BEGIN_TRANSACTION	Make the start of a transaction
END_TRANSACTION	Terminate the transaction and try to commit
ABORT_TRANSACTION	Kill the transaction and restore the old values
READ	Read data from a file, a table, or otherwise
WRITE	Write data to a file, a table, or otherwise

Example: airline reservation

Begin\_transaction

if(reserve(NY,Paris)==full) Abort\_transaction

if(reserve(Paris,Athens)==full)Abort\_transaction

if(reserve(Athens,Delhi)==full) Abort\_transaction

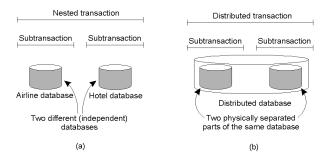
End\_transaction

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### **Distributed Transactions**

- a) A nested transaction
- b) A distributed transaction



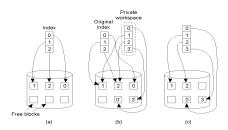
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## Implementation: Private Workspace

- Each transaction get copies of all files, objects
- Can optimize for reads by not making copies
- Can optimize for writes by copying only what is required copy on write
- Commit requires making local workspace global



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## **Option 2: Write-ahead Logs**

- In-place updates: transaction makes changes directly to all files/objects
- Write-ahead log: prior to making change, transaction writes to log on stable storage
  - Transaction ID, block number, original value, new value
- · Force logs on commit
- If abort, read log records and undo changes [rollback]
- · Log can be used to rerun transaction after failure
- · Both workspaces and logs work for distributed transactions
- Commit needs to be *atomic* [will return to this issue in later lecture]

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## Writeahead Log Example

x = 0; v = 0:	Log	Log	Log
BEGIN_TRANSACTION;			
x = x + 1;	[x = 0 / 1]	[x = 0 / 1]	[x = 0 / 1]
y = y + 2		[y = 0/2]	[y = 0/2]
X = y * y;			[x = 1/4]
END_TRANSACTION;			
(a)	(b)	(c)	(d)

- a) A transaction
- b) d) The log before each statement is executed

## **Concurrency Control**

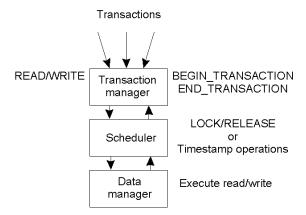
- Goal: Allow several transactions to be executing simultaneously such that
  - Collection of manipulated data item is left in a consistent state
- · Achieve consistency by ensuring data items are accessed in an specific order
  - Final result should be same as if each transaction ran sequentially
- Concurrency control can implemented in a *layered* fashion

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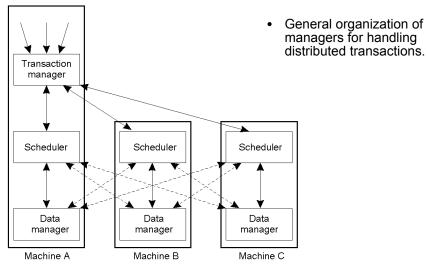
### **Concurrency Control Implementation**



· General organization of managers for handling transactions.

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# **Distributed Concurrency Control**



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# **Serializability**

BEGIN_TRANSACTION  x = 0;  x = x + 1;  END_TRANSACTION	BEGIN_TRANSACTION  x = 0;  x = x + 2;  END_TRANSACTION	BEGIN_TRANSACTION  x = 0;  x = x + 3;  END_TRANSACTION
(a)	(b)	(c)

Schedule 1	x = 0; $x = x + 1$ ; $x = 0$ ; $x = x + 2$ ; $x = 0$ ; $x = x + 3$	Legal
Schedule 2	x = 0; $x = 0$ ; $x = x + 1$ ; $x = x + 2$ ; $x = 0$ ; $x = x + 3$ ;	Legal
Schedule 3	x = 0; $x = 0$ ; $x = x + 1$ ; $x = 0$ ; $x = x + 2$ ; $x = x + 3$ ;	Illegal

- Key idea: properly schedule conflicting operations
- · Conflict possible if at least one operation is write
  - Read-write conflict
  - Write-write conflict

## **Optimistic Concurrency Control**

- Transaction does what it wants and validates changes prior to commit
  - Check if files/objects have been changed by committed transactions since they were opened
  - Insight: conflicts are rare, so works well most of the time
- Works well with private workspaces
- · Advantage:
  - Deadlock free
  - Maximum parallelism
- · Disadvantage:
  - Rerun transaction if aborts
  - Probability of conflict rises substantially at high loads
- · Not used widely

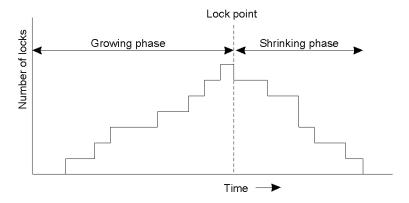
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## Two-phase Locking

- Widely used concurrency control technique
- · Scheduler acquires all necessary locks in growing phase, releases locks in shrinking phase
  - Check if operation on data item x conflicts with existing locks
    - If so, delay transaction. If not, grant a lock on x
  - Never release a lock until data manager finishes operation on x
  - One a lock is released, no further locks can be granted
- · Problem: deadlock possible
  - Example: acquiring two locks in different order
- · Distributed 2PL versus centralized 2PL

# **Two-Phase Locking**



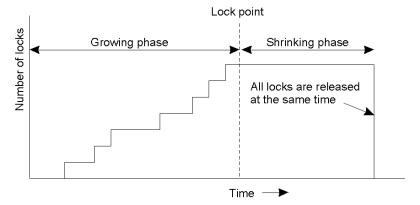
• Two-phase locking.

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# **Strict Two-Phase Locking**



• Strict two-phase locking.

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### **Timestamp-based Concurrency Control**

- Each transaction Ti is given timestamp ts(Ti)
- If Ti wants to do an operation that conflicts with Ti
  - **—** Abort Ti if ts(Ti) < ts(Tj)
- When a transaction aborts, it must restart with a new (larger) time stamp
- Two values for each data item x
  - -Max-rts(x): max time stamp of a transaction that read x
  - Max-wts(x): max time stamp of a transaction that wrote x

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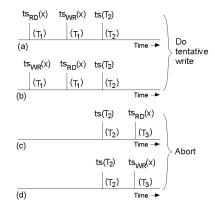
# **Reads and Writes using Timestamps**

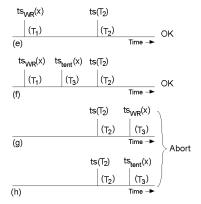
```
• Read<sub>i</sub>(x)
```

- If  $ts(T_i) < max-wts(x)$  then Abort  $T_i$
- Else
  - Perform  $R_i(x)$
  - $Max-rts(x) = max(max-rts(x), ts(T_i))$
- $Write_i(x)$ 
  - If  $ts(T_i) < max-rts(x)$  or  $ts(T_i) < max-wts(x)$  then Abort  $T_i$
  - Else
    - Perform  $W_i(x)$
    - $Max-wts(x) = ts(T_i)$

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# **Pessimistic Timestamp Ordering**





• Concurrency control using timestamps.

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