

## Lecture 19: April 6

*Lecturer: Prashant Shenoy**Scribe: Yang Li*

## 19.1 Recap of Fault Tolerance

### 19.1.1 Two Replication techniques to handle fault tolerance

#### 19.1.1.1 Technique 1

Requests are distributed amongst  $k$  replicas . In case of failure of a replica , the requests are redistributed. This technique handles crash fault tolerance . It is a simple and the commonly used technique to handle faults.

#### 19.1.1.2 Technique 2

Each request is sent to all the replicas . All replicas process requests and produce results . Then the replicas vote to make a decision . This handles Crash and Byzantine Faults . However it is much harder and more expensive( $3k$  replicas) to implement .

### 19.1.2 Two Phase Commit (2PC) and Three Phase Commit (3PC)

In Two Phase Commit ,The first phase includes the Coordinator quering all the database replicas on whether a transaction has to be committed or aborted . In the decision phase the results from the replicas are used to make a decision . Even if a single replica wants to abort , the transaction is aborted . This ensures the safety property .

However the failure of the Coordinator blocks the system . In order to handle this blocking a technique called Three phase commit is used. The first two phase is similar to the 2PC . In the third phase , the coordinator tabulates the results and sends them to all the replicas . The replicas send an acknowledgement on receiving this message . Only after receiving the acknowledgement does the coordinator send a commit message.

If the coordinator crashes , the replicas ask each other if they have heard from the coordinator and even if a single replica has a tabulated result ( in the precommit stage) the replicas can go ahead and commit . If none of the replicas have a result then the abort the transaction .

The state diagram in the slides shows the various messages passed . The 3PC is always safe irrespective of the failure of the coordinator or the replicas .

### 19.1.3 Paxos

Paxos is another technique for handling fault tolerance . Unlike the 2PC or the 3PC , Paxos looks at the results of the transaction and decides based on the result . A comparison of the results obtained by the different replicas make sure that the Byzantine Faults are taken care of . This is a complex technique and much harder to implement.

## 19.2 Recovery

Once the crashed server recovers , operations must be performed for it to recover to the current state . This is done by resynchronizing with the other servers. In case of databases , all replicas maintains logs and the replica which has just recovered can ask another replica for its log and do a log replay to get itself updated. Checkpointing is another means of recovery . The replicas maintain checkpoints and upon a crash it rolls back to its previous checkpoint and then does a log replay from that point .

Independent Checkpointing can lead to inconsistencies in that case the replicas has to rollback until the last consistent state . This cascading rollback can lead to a domino effect . However use of techniques like distributed snapshot solves the problem.