### **Today: More Canonical Problems**

- Termination Detection
- Leader election
- Mutual exclusion



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#### **Termination Detection**

- Detecting the end of a distributed computation
- Notation: let sender be *predecessor*, receiver be *successor*
- Two types of markers: Done and Continue
- After finishing its part of the snapshot, process Q sends a Done or a Continue to its predecessor
- Send a Done only when
  - All of Q's successors send a Done
  - Q has not received any message since it check-pointed its local state and received a marker on all incoming channels
  - Else send a Continue
- Computation has terminated if the initiator receives Done messages from everyone



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## **Election Algorithms**

- Many distributed algorithms need one process to act as coordinator
  - Doesn't matter which process does the job, just need to pick one
- Election algorithms: technique to pick a unique coordinator (aka *leader election*)
- Examples: take over the role of a failed process, pick a master in Berkeley clock synchronization algorithm
- Types of election algorithms: Bully and Ring algorithms



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# **Bully Algorithm**

- Each process has a unique numerical ID
- Processes know the Ids and address of every other process
- Communication is assumed reliable
- Key Idea: select process with highest ID
- Process initiates election if it just recovered from failure or if coordinator failed
- 3 message types: election, OK, I won
- Several processes can initiate an election simultaneously
  - Need consistent result
- $O(n^2)$  messages required with *n* processes



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### **Bully Algorithm Details**

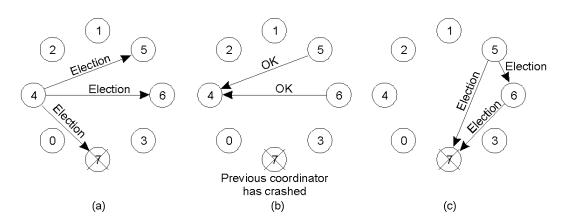
- Any process *P* can initiate an election
- *P* sends *Election* messages to all process with higher Ids and awaits *OK* messages
- If no *OK* messages, *P* becomes coordinator and sends *I* won messages to all process with lower Ids
- If it receives an OK, it drops out and waits for an I won
- If a process receives an *Election* msg, it returns an *OK* and starts an election
- If a process receives a *I won*, it treats sender an coordinator



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# **Bully Algorithm Example**

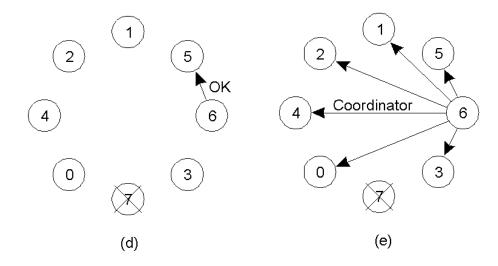


- The bully election algorithm
- Process 4 holds an election
- Process 5 and 6 respond, telling 4 to stop
- Now 5 and 6 each hold an election



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# **Bully Algorithm Example**



- d) Process 6 tells 5 to stop
- e) Process 6 wins and tells everyone



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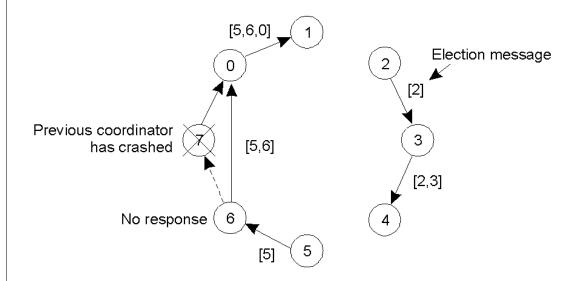
# **Ring-based Election**

- Processes have unique Ids and arranged in a logical ring
- Each process knows its neighbors
  - Select process with highest ID
- Begin election if just recovered or coordinator has failed
- Send *Election* to closest downstream node that is alive
  - Sequentially poll each successor until a live node is found
- Each process tags its ID on the message
- Initiator picks node with highest ID and sends a coordinator message
- Multiple elections can be in progress
  - Wastes network bandwidth but does no harm



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# A Ring Algorithm





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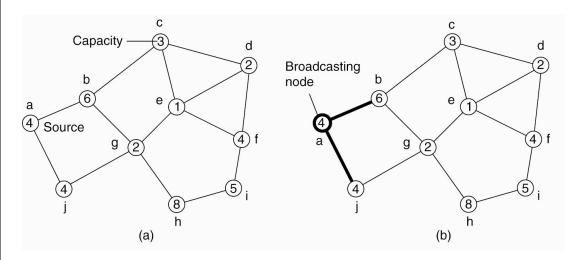
# Comparison

- Assume *n* processes and one election in progress
- Bully algorithm
  - Worst case: initiator is node with lowest ID
    - Triggers n-2 elections at higher ranked nodes:  $O(n^2)$  msgs
  - Best case: immediate election: n-2 messages
- Ring
  - 2 (n-1) messages always



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#### Elections in Wireless Environments (1)



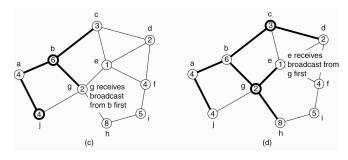
• Election algorithm in a wireless network, with node a as the source. (a) Initial network. (b)–(e) The build-tree phase

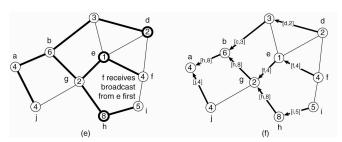


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# Elections in Wireless Environments (2)







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#### Elections in Large-Scale Systems (1)

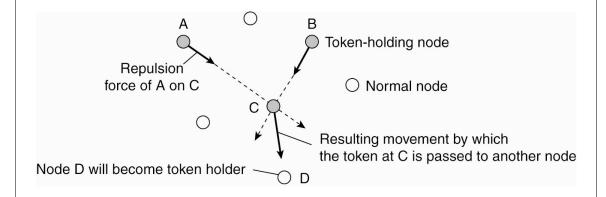
- Requirements for superpeer selection:
- 1. Normal nodes should have low-latency access to superpeers.
- 2. Superpeers should be evenly distributed across the overlay network.
- 3. There should be a predefined portion of superpeers relative to the total number of nodes in the overlay network.
- 4. Each superpeer should not need to serve more than a fixed number of normal nodes.



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#### Elections in Large-Scale Systems (2)



Moving tokens in a two-dimensional space using repulsion forces.



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### **Distributed Synchronization**

- Distributed system with multiple processes may need to share data or access shared data structures
  - Use critical sections with mutual exclusion
- Single process with multiple threads
  - Semaphores, locks, monitors
- How do you do this for multiple processes in a distributed system?
  - Processes may be running on different machines
- Solution: lock mechanism for a distributed environment
  - Can be centralized or distributed



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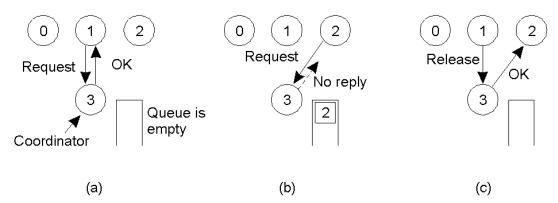
#### Centralized Mutual Exclusion

- Assume processes are numbered
- One process is elected coordinator (highest ID process)
- Every process needs to check with coordinator before entering the critical section
- To obtain exclusive access: send request, await reply
- To release: send release message
- Coordinator:
  - Receive *request*: if available and queue empty, send grant; if not, queue request
  - Receive *release*: remove next request from queue and send grant



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#### Mutual Exclusion:



- a) Process 1 asks the coordinator for permission to enter a critical region. Permission is granted
- b) Process 2 then asks permission to enter the same critical region. The coordinator does not reply.
- c) When process 1 exits the critical region, it tells the coordinator, when then replies to 2



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## **Properties**

- Simulates centralized lock using blocking calls
- Fair: requests are granted the lock in the order they were received
- Simple: three messages per use of a critical section (request, grant, release)
- Shortcomings:
  - Single point of failure
  - How do you detect a dead coordinator?
    - A process can not distinguish between "lock in use" from a dead coordinator
      - No response from coordinator in either case
  - Performance bottleneck in large distributed systems



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# **Decentralized Algorithm**

- Use voting
- Assume n replicas and a coordinator per replica
- To acquire lock, need majority vote m > n/2 coordinators
  - Non blocking: coordinators returns OK or "no"
- Coordinator crash => forgets previous votes
  - Probability that k coordinators crash  $P(k) = {}^{m}C_{k} p^{k} (1-p)^{m-k}$
  - Atleast 2m-n need to reset to violate correctness
    - $\sum_{2m-n} {}^{n}P(k)$



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# Distributed Algorithm

- [Ricart and Agrawala]: needs 2(n-1) messages
- Based on event ordering and time stamps
  - Assumes total ordering of events in the system (Lamport's clock)
- Process k enters critical section as follows
  - Generate new time stamp  $TS_k = TS_k + I$
  - Send  $request(k, TS_k)$  all other n-1 processes
  - Wait until reply(j) received from all other processes
  - Enter critical section
- Upon receiving a *request* message, process *j* 
  - Sends reply if no contention
  - If already in critical section, does not reply, queue request
  - If wants to enter, compare  $TS_j$  with  $TS_k$  and send reply if  $TS_k < TS_j$ , else queue



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# A Distributed Algorithm

Enters critical region

8

0

0

0

0

0

0

0

0

Enters critical region

1

1

1

1

2

Enters critical region

(c)

a) Two processes want to enter the same critical region at the same moment.

(b)

- b) Process 0 has the lowest timestamp, so it wins.
- c) When process 0 is done, it sends an OK also, so 2 can now enter the critical region.

critical region.
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# **Properties**

Fully decentralized

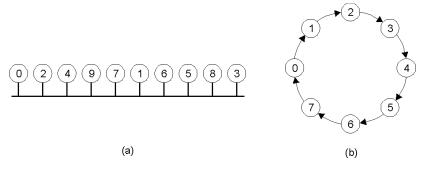
(a)

- N points of failure!
- All processes are involved in all decisions
  - Any overloaded process can become a bottleneck



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# A Token Ring Algorithm



- a) An unordered group of processes on a network.
- b) A logical ring constructed in software.
- Use a token to arbitrate access to critical section
- Must wait for token before entering CS
- Pass the token to neighbor once done or if not interested
- Detecting token loss in non-trivial



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# Comparison

Algorithm	Messages per entry/exit	Delay before entry (in message times)	Problems
Centralized	3	2	Coordinator crash
Decentralized	3mk	2m	starvation
Distributed	2 ( n – 1 )	2 ( n – 1 )	Crash of any process
Token ring	1 to ∞	0 to n – 1	Lost token, process crash

A comparison of four mutual exclusion algorithms.



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