Processes and Threads

• Processes and their scheduling
• Multiprocessor scheduling
• Threads
• Distributed Scheduling/migration

Processes: Review

• Multiprogramming versus multiprocessing
• Kernel data structure: process control block (PCB)
• Each process has an address space
  – Contains code, global and local variables..
• Process state transitions
• Uniprocessor scheduling algorithms
  – Round-robin, shortest job first, FIFO, lottery scheduling, EDF
• Performance metrics: throughput, CPU utilization, turnaround time, response time, fairness
Process Behavior

- Processes: alternate between CPU and I/O
- CPU bursts
  - Most bursts are short, a few are very long (high variance)
  - Modeled using hyperexponential behavior
  - If $X$ is an exponential r.v.
    - $\Pr [ X \leq x ] = 1 - e^{-\mu x}$
    - $E[X] = \frac{1}{\mu}$
  - If $X$ is a hyperexponential r.v.
    - $\Pr [ X \leq x ] = 1 - p e^{\mu_1 x} - (1-p) e^{\mu_2 x}$
    - $E[X] = \frac{p}{\mu_1} + \frac{(1-p)}{\mu_2}$

Process Scheduling

- Priority queues: multiples queues, each with a different priority
  - Use strict priority scheduling
  - Example: page swapper, kernel tasks, real-time tasks, user tasks
- Multi-level feedback queue
  - Multiple queues with priority
  - Processes dynamically move from one queue to another
    - Depending on priority/CPU characteristics
  - Gives higher priority to I/O bound or interactive tasks
  - Lower priority to CPU bound tasks
  - Round robin at each level
Processes and Threads

- Traditional process
  - One thread of control through a large, potentially sparse address space
  - Address space may be shared with other processes (shared mem)
  - Collection of systems resources (files, semaphores)
- Thread (light weight process)
  - A flow of control through an address space
  - Each address space can have multiple concurrent control flows
  - Each thread has access to entire address space
  - Potentially parallel execution, minimal state (low overheads)
  - May need synchronization to control access to shared variables

Threads

- Each thread has its own stack, PC, registers
  - Share address space, files,…
Why use Threads?

- Large multiprocessors/multi-core systems need many computing entities (one per CPU or core)
- Switching between processes incurs high overhead
- With threads, an application can avoid per-process overheads
  - Thread creation, deletion, switching cheaper than processes
- Threads have full access to address space (easy sharing)
- Threads can execute in parallel on multiprocessors

Why Threads?

- Single threaded process: blocking system calls, no parallelism
- Finite-state machine [event-based]: non-blocking with parallelism
- Multi-threaded process: blocking system calls with parallelism
- Threads retain the idea of sequential processes with blocking system calls, and yet achieve parallelism
- Software engineering perspective
  - Applications are easier to structure as a collection of threads
    - Each thread performs several [mostly independent] tasks
Multi-threaded Clients Example: Web Browsers

- Browsers such as IE are multi-threaded
- Such browsers can display data before entire document is downloaded: performs multiple simultaneous tasks
  - Fetch main HTML page, activate separate threads for other parts
  - Each thread sets up a separate connection with the server
    - Uses blocking calls
    - Each part (gif image) fetched separately and in parallel
    - Advantage: connections can be setup to different sources
      - Ad server, image server, web server…

Multi-threaded Server Example

- Apache web server: pool of pre-spawned worker threads
  - Dispatcher thread waits for requests
  - For each request, choose an idle worker thread
  - Worker thread uses blocking system calls to service web request
Thread Management

• Creation and deletion of threads
  – Static versus dynamic

• Critical sections
  – Synchronization primitives: blocking, spin-lock (busy-wait)
  – Condition variables

• Global thread variables

• Kernel versus user-level threads

User-level versus kernel threads

• Key issues:

• Cost of thread management
  – More efficient in user space

• Ease of scheduling

• Flexibility: many parallel programming models and schedulers

• Process blocking – a potential problem
User-level Threads

- Threads managed by a threads library
  - Kernel is unaware of presence of threads

- Advantages:
  - No kernel modifications needed to support threads
  - Efficient: creation/deletion/switches don’t need system calls
  - Flexibility in scheduling: library can use different scheduling algorithms, can be application dependent

- Disadvantages
  - Need to avoid blocking system calls [all threads block]
  - Threads compete for one another
  - Does not take advantage of multiprocessors [no real parallelism]

![User-space thread implementations](image-url)
Kernel-level threads

- Kernel aware of the presence of threads
  - Better scheduling decisions, more expensive
  - Better for multiprocessors, more overheads for uniprocessors

Light-weight Processes

- Several LWPs per heavy-weight process
- User-level threads package
  - Create/destroy threads and synchronization primitives
- Multithreaded applications – create multiple threads, assign threads to LWPs (one-one, many-one, many-many)
- Each LWP, when scheduled, searches for a runnable thread [two-level scheduling]
  - Shared thread table: no kernel support needed
- When a LWP thread block on system call, switch to kernel mode and OS context switches to another LWP
LWP Example

Thread Packages

- Posix Threads (pthreads)
  - Widely used threads package
  - Conforms to the Posix standard
  - Sample calls: pthread_create,…
  - Typical used in C/C++ applications
  - Can be implemented as user-level or kernel-level or via LWPs
- Java Threads
  - Native thread support built into the language
  - Threads are scheduled by the JVM