

Today: Synchronization for Readers/Writers Problem

- An object is shared among many threads, each belonging to one of two classes:
 - Readers: read data, never modify it
 - Writers: read data and modify it
- Using a single lock on the data object is overly restrictive
 - => Want *many readers* reading the object at once
 - Allow only *one writer* at any point
 - How do we control access to the object to permit this protocol?
- Correctness criteria:
 - Each read or write of the shared data must happen within a critical section.
 - Guarantee mutual exclusion for writers.
 - Allow multiple readers to execute in the critical section at once.



Readers/Writers Problem

```
class ReadWrite {
public:
    void Read();
    void Write();
private:
    int    readers; // counts readers
    Semaphore mutex; // controls access to readers
    Semaphore wrt; // controls entry to first
} // writer or reader
ReadWrite::ReadWrite {
    readers = 0;
    mutex->value = 1;
    wrt->value = 1;
}
```



Readers/Writers Problem

```
ReadWrite::Write(){
    wrt->Wait();    // any writers or readers?
    <perform write>
    wrt->Signal();  // enable others
}
ReadWrite::Read(){
    mutex->Wait();  // ensure mutual exclusion
    readers += 1;  // another reader
    if (readers == 1)
        wrt->Wait(); // block writers
    mutex->Signal();
    <perform read>
    mutex->Wait();  // ensure mutual exclusion
    readers -= 1;  // reader done
    if (readers == 0)
        wrt->Signal();// enable writers
    mutex->Signal(); }
```

Readers/Writers: Scenario 1

R1:

Read ()

R2:

Read ()

W1:

Write ()

Readers/Writers: Scenario 2

R1:	R2:	W1:
Read ()		Write ()
	Read ()	

Reader/Writers: Scenario 3

R1:	R2:	W1:
Read ()		Write ()
	Read ()	

Readers/Writers Solution: Discussion

- Implementation notes:
 1. The first reader blocks if there is a writer; any other readers who try to enter block on mutex.
 2. The last reader to exit signals a waiting writer.
 3. When a writer exits, if there is both a reader and writer waiting, which goes next depends on the scheduler.
 4. If a writer exits and a reader goes next, then all readers that are waiting will fall through (at least one is waiting on wrt and zero or more can be waiting on mutex).
 5. Does this solution guarantee all threads will make progress?
- Alternative desirable semantics:
 - Let a writer enter its critical section as soon as possible.

Readers/Writers Solution Favoring Writers

```
ReadWrite::Write(){
    write_mutex->Wait(); // ensure mutual exclusion
    writers += 1; // another pending writer
    if (writers == 1) // block readers
        read_block->Wait();
    write_mutex->Signal();
    write_block->Wait(); // ensure mutual exclusion
    <perform write>
    write_block->Signal();
    write_mutex->Wait(); // ensure mutual exclusion
    writers -= 1; // writer done
    if (writers == 0) // enable readers
        read_block->Signal();
    write_mutex->Signal(); }
```

Readers/Writers Solution Favoring Writers

```
ReadWrite::Read(){
    write_pending->Wait(); // ensures at most one reader will go
                          // before a pending write
    read_block->Wait();
    read_mutex->Wait(); // ensure mutual exclusion
    readers += 1; // another reader
    if (readers == 1) // synchronize with writers
        write_block->Wait();
    read_mutex->Signal();
    read_block->Signal();
    write_pending->Signal();
    <perform read>
    read_mutex->Wait(); // ensure mutual exclusion
    readers -= 1; // reader done
    if (readers == 0) // enable writers
        write_block->Signal();
    read_mutex->Signal(); }
```



Readers/Writers: Scenario 4

R1:	R2:	W1:	W2:
Read ()			
	Read ()		
		Write ()	
			Write ()



Readers/Writers: Scenario 5

R1:	R2:	W1:	W2:
Read ()		Write ()	
	Read ()		
			Write ()

Reader/Writers: Scenario 6

R1:	R2:	W1:	W2:
Read ()			
		Write ()	
	Read ()		
			Write ()

Readers/Writers using Monitors (Java)

```
class ReaderWriter {
    private int numReaders = 0;
    private int numWriters = 0;

    private synchronized void prepareToRead () {
        while ( numWriters > 0 ) wait ();
        numReaders++;
    }
    private synchronized void doneReading () {
        numReaders--;
        if ( numReaders == 0 ) notify ();
    }
    public ... someReadMethod () {
        // reads NOT synchronized: multiple readers
        prepareToRead ();
        <do the reading>
        doneReading ();
    }
}
```

Readers/Writers using Monitors (Java)

```
private void prepareToWrite () {
    numWriters++;
    while ( numReaders != 0 ) wait ();
}
private void doneWriting () {
    numWriters--;
    notify ();
}
public synchronized void someWriteMethod (...) {
    // synchronized => only one writer
    prepareToWrite ();
    <do the writing>
    doneWriting ();
}
}
```

pthread Read/write Locks

- pthreads supports read/write lock
 - A thread can acquire a read lock or a write lock
 - Multiple threads can hold the same read lock concurrently
 - Only one thread can hold a write lock
 - pthread routines:

```
pthread_rwlock_init()
pthread_rwlock_rdlock()
pthread_rwlock_wrlock()
pthread_rwlock_unlock()
```

Other Synchronizations Problems: Dining Philosophers

- Five philosophers, each either eats or thinks
- Share a circular table with five chopsticks
- Thinking: do nothing
- Eating => need two chopsticks, try to pick up two closest chopsticks
 - Block if neighbor has already picked up a chopstick
- After eating, put down both chopsticks and go back to thinking

Dining Philosophers v1

```
Semaphore chopstick[5];

do{
    wait(chopstick[i]); // left chopstick
    wait(chopstick[(i+1)%5]); // right chopstick
    // eat
    signal(chopstick[i]); // left chopstick
    signal(chopstick[(i+1)%5]); // right chopstick
    // think
} while(TRUE);
```

Dining Philosophers v2 (monitors)

```
monitor DP
{
    enum { THINKING; HUNGRY,
    EATING} state [5];
    condition self [5];

    void pickup (int i) {
        state[i] = HUNGRY;
        test(i);
        if (state[i] != EATING)
            self [i].wait;
    }

    void putdown (int i) {
        state[i] = THINKING;
        //test left and right neighbors
        test((i + 4) % 5);
        test((i + 1) % 5);
    }

    void test (int i) {
        if ( (state[(i + 4) % 5] != EATING)&&
            (state[i] == HUNGRY) &&
            (state[(i + 1) % 5] != EATING) ) {
                state[i] = EATING ;
                self[i].signal () ;
            }
    }

    initialization_code() {
        for (int i = 0; i < 5; i++)
            state[i] = THINKING;
    }
}
```

Summary

- Readers/writers problem:
 - Allow multiple readers to concurrently access a data
 - Allow only one writer at a time
- Two possible solutions using semaphores
 - Favor readers
 - Favor writers
- Starvation is possible in either case!

Last Class: Synchronization for Readers/Writers

- Readers/writers problem:
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- Two possible solutions using semaphores
 - Favor readers
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- Starvation is possible in either case!